

LEVIEU

AD AO 58710

A METHOD FOR QUANTIFYING A MENTAL STATUS EXAMINATION

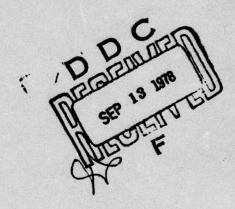


Dec 1473

R. C. SPAULDING, M. RICHLIN, & J. D. PHELAN

DDC FILE COPY,

REPORT NO. 78-19





DISTRIBUTION STATEMENT A

Approved for public release Distribution Unlimited

NAVAL HEALTH RESEARCH CENTER

SAN DIEGO, CALIFORNIA 92152

NAVAL MEDICAL RESEARCH AND DEVELOPMENT COMMAND
BETHESDA, MARYLAND

78 09 12 016

SECURITY CLASSIFICATION OF THIS PAGE (When Date Entered)

REPORT DOCUMENTA	TION PAGE	READ INSTRUCTIONS BEFORE COMPLETING FORM	
REPORT NUMBER 78-19 ✓	2. GOVT ACCESSION NO	. 3. RECIPIENT'S CATALOG NUMBER	
A Method For Quantifying A Me Examination,	ental Status 9	Final nept. 6. PERFORMING ORG. REPORT NUMBER	
Raymond C./Spaulding Milton/Richlin James D./Phelan		8. CONTRACT OR GRANT NUMBER(s)	
Naval Health Research Center San Diego, CA 92152	DRESS (12)	Program Element Project, Task Proj. No. MF51 524 622 0005 Program Element 62758N	
Naval Medical Research & Deve Bethesda, Maryland 20014	[] [February 1978	
14. MONITORING AGENCY NAME & ADDRESS(III	different from Controlling Office)	15. SECURITY CLASS. (of this report)	
Bureau of Medicine and Surger Department of the Navy		Unclassified	
Washington, D.C. 20372	710	154. DECLASSIFICATION/DOWNGRADING	
Approved for public release;			
8. SUPPLEMENTARY NOTES			
Technical Report			
19. KEY WORDS (Continue on reverse side if neces	eeary and identify by block number,)	
Quantified Mental Status Repatriated prisoners of war behavioral health and adaptat	tion assessments		
During the period February to war were repatriated from the 26 Marine Corps, and 138 Navy part of the planned longitudin and annually thereafter, psych RPW during each examination. was developed and the results	through April 1973 Am communist forces in individuals returned nal physical evaluati hiatrists completed a A method for quantif	nerican military prisoners of Vietnam. There were 77 Arm I to the United States. As ions conducted at repatriati In mental status form for eac fying the mental status form	

DD 1 JAN 73 1473

EDITION OF 1 NOV 65 IS OBSOLETE S/N 0102 LF-014-6601 Unclassified

SECURITY CLASSIFICATION OF THIS PAGE (When Data Entered)

391 642

LB

Unclassified SECURITY CLASSIFICATION OF THIS PAGE(When Data Entered,	
behavioral, and adaptation variables. tained (reported elsewhere), between t psychiatric, medical and behavioral va	Significant correlations have been ob- the mental status measures and various ariables.
on the second	
ASSECTATIONS OF STREET	
AND THE RESERVE OF THE PARTY OF	Acqueria C. Spenforng
	Mayel Health Assert Center Mayel Health Assert Center San Diego, CA 92182
	Moved Theology Resourch & Dovelopies Com Basesda, Maryland 20014
	Cursas at Medicine and Surgery () (197) Desartment of the May Nathington, D.C. 20072
	and the second s

Unclassified
SECURITY CLASSIFICATION OF THIS PAGE(When Date Entered)

A Method for Quantifying a Mental Status Examination

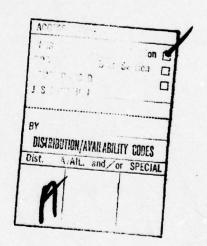
Raymond C. Spaulding
Captain, Medical Corps, U. S. Navy

Milton Richlin, Ph.D.

James D. Phelan

Center for Prisoner of War Studies Naval Health Research Center San Diego, California 92152

The views presented in this paper are those of the authors. No endorsement by the Department of the Navy or the Department of the Army has been given or should be inferred.



Abstract

During the period February through April 1973 American military prisoners of war were repatriated from the communist forces in Vietnam. Where were 77 Army, 26 Marine Corps, and 138 Navy individuals returned to the United States. As part of the planned longitudinal physical evaluations conducted at repatriation and annually thereafter, psychiatrists completed a mental status form for each RPW during each examination. A method for quantifying the mental status form was developed and the results were correlated with a number of health, behavioral, and adaptational variables. Significant correlations have been obtained (reported elsewhere), between the mental status measures and various psychiatric, medical and behavioral variables.

A Method for Quantifying a Mental Status Examination

<u>Purpose</u>: This Technical Report has been prepared to describe the method which was developed to quantify the mental status examination forms used in the initial and annual follow-up examinations of the Marine Corps, and the Navy repatriated prisoners of war, and the Navy control group.

<u>Sample</u>: The cessation of active combat between the United States military forces and the Communist Vietnamese troops in January 1973, led to the recovery of 566 American military prisoners of war. The repatriated prisoners of war (RPWs) were comprised of: Air Force 325, Army 77, Marine Corps 26, and Navy 138. 1,2,3,4 The Air Force RPWs are being followed by the USAF School of Aerospace Medicine, Brooks AFB, Texas 78235, and this facility has no detailed medical findings on the Air Force RPWs.

Method: Each Army, Marine Corps, and Navy RPW was given a comprehensive physical examination immediately upon his return to the United States. The examination results were recorded in a format labeled the <u>Initial</u> Medical Evaluation Form, (I.M.E.F.). The detailed I.M.E.F. record facilitated the standardized recording of the examination results which were then coded and transferred to computer tape for subsequent group statistical analysis.

The psychiatric Examination section of the I.M.E.F. was completed by the examining psychiatrist. The psychiatric examination is divided into three main parts.

- 1. Mental Status Examination (MS)
- Narrative Summary of all pertinent history about captivity, past, personal, and family history, as well as current functioning.
- Problem list, treatment plans, prognosis, and diagnoses in accordance with DSM-II Nomenclature, if warranted.

The I.M.E.F. Mental Status section is comprised of thirteen subsections consisting of 399 items: 1) Reliability of information; 2) Barriers to Communication; 3) State of Consciousness; 4) Orientation; 5) Memory; 6) Appearance and Behavior; 7) Characteristics of Speech; 8) Thought Processes; 9) Affect and Feeling; 10) Perceptions; 11) Intellectual Functions; 12) Somatic Functioning; and 13) Social Interactions and Personality Characteristics. Many of the mental status items were answered yes or no. However, some were of a descriptive and/or quantitative variety and the answer scored on a scale of zero to three. The maximum possible scores on the I.M.E.F. mental status examination ranged from (+) 148 to a (-) 457. Positive items were, for example: very good, reliability of information with no barriers present to communication. The individual was lucid, oriented to time, place, person and situation with memory normal for recent and remote events. He was described as appearing younger than his stated age. Motor and cognitive functions were considered to be normal. Some of the negative items on the mental status examination were: Very poor reliability of information, with barriers to communication present because of the RPWs preoccupation; easily distractability and complaints of forgetfulness. He appeared older than his stated age. Motor behavior was marked by psychophysiological signs of anxiety. Thought processes, stream of thought, were characterized by rambling, halting, vagueness and using related but incorrect words. In addition, any

clinical manifestations of neurotic or psychotic disorders would be considered as a negative or undesirable trait on the mental status examination.

Follow-up Mental Status Examination

All of the Marine Corps and Navy repatriated prisoners of war have been invited to participate in the annual follow-up physical examinations. These examinations are conducted at the Naval Aerospace Medical Research Laboratory, Naval Air Station, Pensacola, Florida. The Navy psychiatrists and clinical psychologists that conduct the psychiatric portion of the examination are attached to the Naval Aerospace Medical Institute, Pensacola, Florida.

The Mental Status examination form used during the annual follow-up examinations has six (6) subsections with a total of 326 items, all weighted by the examining psychiatrist on a scale of zero (0) to four (4). The weightings refer to the: (0_ absence of a trait; (1) very mild presence of a trait; (2) mild, (3) moderate; and (4) severe presence of a trait. The six (6) subsections are: (1) General appearance, (2) Psychological Functioning, (3) Organic Brain Functioning, (4) Impairment of Judgement, (5) Insight, and (6) Potential for Therapeutic Alliance.

The follow-up mental status format, like the I.M.E.F. Mental Status format, also contains positive and negative items with the maximum overall scores ranging from (+) 84 to (-) 1192.

The two mental status examination forms were independently rated, item by item, by two professionals at the Center for Prisoner of War Studies; for being either a positive or negative trait. The interrater reliability was extremely high (r = .98). Ambiguous items were deleted.

Each individual RPW or Navy control group member has a mental status score for each year he has been examined. Individuals with a higher net (positive) score are considered to be enjoying a better state of emotional health than those with lower net scores. Thus, by quantifying the mental status examination in this manner, the net score was used to correlate with a variety of independently derived variables indicative of the individual's health and adjustment since repatriation. For example such independent variables have been used as: 1) demographic data, 2) total number of medical diagnoses, 3) psychiatric diagnoses, 4) Recent Life Changes, 5,6,7, 5) progression of duty stations since repatriation, and 6) captivity experience.

The psychiatric examinations conducted on the Army RPWs have employed a different mental status format, which has not been quantified, to date. The annual follow-up examinations for the Army RPWs are conducted at Brooke Army Medical Center (B.A.M.C.), Fort Sam Houston, Texas 78234.

<u>Summary</u>: The technique of quantifying the Mental Status Examination has been shown to correlate significantly with psychiatric diagnoses, overall medical diagnoses, behavior, adjustment, motivation, and professional careers.

Table 1 shows the maximum and minimum scores, mean, and standard deviations obtained in the sample of repatriated Navy RPWs.

Appendix: Copies of the I.M.E.F. and Follow-up Mental Status formats.

TABLE 1 MENTAL STATUS EXAMINATIONS N = 138 Navy

		MAXIMUM AND MINIMUM SCORES OBTAINED					
	MAXIMUM AND MINIMUM POSITIVE SCORES	Range	Mean	S.D.	25th per- centile	Median	75th per centile
POSITIVE SCOR	RES						
IMEF	148	67 to 142	114.88	12.96			
1974	84	0 to 75	46.31	15.93	39	48.2	56
1975	84	0 to 76	53.95	12.22	46	55.0	62
NEGATIVE SCO	DRES						
IMEF	-457	-1 to -125	-21.18	-17.96		16 (<u>11</u> 16)	
1974	-1192	0 to -138	-28.04	-24.14	-11	-20.75	-40
1975	-1192	0 to -152	-24.50	-32.72	-9	-18.00	-30
OVERALL SCO	RE COMMENCE OF THE TAX						
IMEF	-457 to 148	-58 to 141	93.69	29.14	82	99.5	113
1974	-1192 to 84	-104 to 73	18.27	32.96	-1	27.75	44
1975	-1192 to 84	-93 to 72	29.45	28.52	19	35.75	47

1974 — First Follow-up Examination 1975 — Second Follow-up Examination

References

- 1. Berg, S.W. and Richlin, M.
 Injuries and Illnesses of Vietnam War POWs. 1. Navy POWs. Military Medicine, Vol. 141, No. 7, July 1977.
 - Berg, S.W. and Richlin, M.
 Injuries and Illnesses of Vietnam War POWs. II. Army POWs. Military Medicine, Vol. 141, No. 8, August 1977.
 - Berg, S.W. and Richlin, M.
 Injuries and Illnesses of Vietnam War POWs. III. Marine Corps POWs. <u>Military Medicine</u>, Vol. 141, No. 9,
 September 1977.
 - Berg, S.W. and Richlin, M.
 Injuries and Illnesses of Vietnam War POWs. IV. Comparison of Captivity Effects in North and South Vietnam.
 Military Medicine, Vol. 141, No. 10, October 1977.
 - Rahe, R.H; Lundberg, U.; Bennett, L.; & Theorell, T. The Social Readjustment Rating Scale: A Comparative Study of Swedes and Americans. <u>Journal of Psychosomatic Research</u>, 1971, 14(3), 241-249.
 - Rahe, R.H. Subjects' Recent Life Changes and their near-future Illness Susceptibility. In Lipowski, ZJ. (ed.)
 <u>Advances in Psychosomatic Medicine</u>. Basel: Karger, 1972, 8, pp. 2-19.
 - Rahe, R.H.; Jensen, P.D.; & Gunderson, E.K.E. Regression Analysis of Subjects' self-reported Life Changes in an Attempt to Improve Illness Prediction. Naval Health Research Center, Unit Publication (71-5).

APPENDIX I

INITIAL MEDICAL EVALUATION FORMS

PSYCHIATRY

Men	tal Status Examination:			
1.	Reliability of information:	(check one)		
	_1_very poor			
	_1_poor			
	_1_fair			
	<u>+1</u> good			
	+1_very good			
		. 83		
2.	Barriers to communication or	reliability were du	e to (check all applicable).	
	(a) Quality of speech			attentes prosessor /
	-1 (b) Refuses information			
	-1 (c) Dialect			
	-1 (d) Physical illness			
	-1 (e) Deafness, hearing			
	-1 (f) Preoccupation			
	-1 (g) Lack of response			
	-1 (h) Sensorial or cognit	ive disorder		
	-1 (i) Conscious falsifica			
	+1 (j) No barriers present			
3.	State of Consciousness:			
	Lucid: +1 Yes			
	(0)or(-1) *No			
	If No, check appropriate ite	ms below:		
	-1 Fluctuating level of co	nsciousness		
	1 Lethargic (stimulation	threshold increased,	inactive, delayed responses, d	rowsiness, indifference)
	-1 Obtunded (maintains wak	efulness, but little	more)	
	-1 Stuporous (can be arous	ed only by vigorous	stimulation)	
	Currently under influence of	sedatives, hypnotic	s, tranquilizers, or opiates?	
	0 No			
	_1_Yes, specify drug(s)			

*If one or more of the "appropriate" items below are checked, then "no" is scored as zero; if none of the appropriate items are checked, then the response of "no" is scored as (-1). The same format is followed throughout the form when a "no" response is scored as (0) or (-1).

4. Orientation:

(Circle digit under appropriate column.)

			Abnormal	
	Norma 1	Mild	Moderate	Marked
a. Time	+1	-1	-2	-3 -3 -3
Place	+1	-1	-2	-3
Person	+1	-1	-2	-3
Situation	+1	-1	-2	-3
b. Check any which apply:				
Mistakes unfamiliar persons or o	objects for familia	r ones		
1_Easily distracted				
_1_Wandering attention	THE CONTRACTOR			
_1_Confused				

5. Memory

Overly neat

Memory:				
			Abnorma1	
	Normal	Mild	Moderate	Marked
a. Disturbance in:				
recent	+1	-1	-2	-3
remote	+1	-1	-2	-3 -3
recent recall*	+1	-1	-2	-3
Forward Backward				
c. Check if applicable:				
	sias			
Complains of forgetfulness				
Appearance and Behavior: (Check appropri	ate block for <u>each</u>	item)		
a. Dress and Grooming				
Condition prohibits self care	Yes -1	No +1		

Yes1	No_+1
Yes + 1	No - 1
Yes <u>-1</u>	No_+1
	¥es <u>+1</u>

h.	General	Appearance	9
٠.	uciici a i	Appear ance	3

- (1) Cachexic
 Yes_-1
 No_+1

 Fatigued
 Yes_-1
 No_+1

Other

 (2) Posture:
 Normal or unremarkable
 Yes +1
 No (0)or(-1)
 If No, check one:

 -1 Stiff, rigid
 -1 Stooped

c. Motor Behavior:

(1) Circle digit under appropriate column:

	Norma T		Abnorma 1	
Psychomotor:	None	Mild	Moderate	Marked
retardation	+1	-1	-2	-3
excitement	+1	-1	-2	-3
Tenseness	+1	-1	-2	-3
Restless, fidgeting	+1	-1	-2	-3
Tremulousness	+1	-1	-2	-3
Tics	+1	-1	-2	-3
"Startle reactions" (hyper-sensitivity to stimuli)	+1	-1	-2	-3
Psychophysiological signs of anxiety (perspiration, dilated pupils, etc.)	+1	-1	-2	-3

(2) Animation:
Normal Yes +1

No (0)or(-1) If No, check one:

-1 Overly enthusiastic expressive movements

-1 Paucity of expressive movements

(3) Facial Expression: Normally expressive

Yes_+1__

No (0)or(-1)

Check all applicable

-1 Vacant, blank

-1 Dazed

-1 Fixed expression

- _1_Sad, gloomy
- -1 Anxious, worried
- -1 Overanimated
- -1 Tearful
- -1 Inappropriate or incongruent
- -1 Grimacing
- (4) Eye Contact: Normal Yes +1

No (0)or(-1) If no, check all applicable:

- -1 Avoids
- -1 Stares into space
- -1 Glances about
- -1 Shuts eyes often

d. Behavior and Attitudes During Interview:

- (1) Rapport: (check one)
 - -1 poor throughout
 - -1 slowly or gradually attained
 - +1 easily attained
 - Not scored other
- (2) Circle digit under appropriate column:

	Not at all	Sometimes - Occasionally	Often or Extensively
Attentive	-1	+1	+2
Cooperative	-1	+1	+2
Frank, open	-1	+1	+2
Evasive	+1	-1	-2
Friendly	-1	+1	+2
Ingratiating	+1	-1	-2
Passive, compliant	+1	-1	-2
Suspicious, guarded	+1	-1	-2
Irritable	+1	-1	-2
Negativistic	+1	-1	-2
Complaining	+1	-1	-2
Defensive	+1	-1	-2
Controlling	+1	-1	-2
Argumentative	+1	-1	-2
Aggressive	+1	-1	-2
Abusive	+1	-1	-2

Critical	+1	-1	-2
Apprehensive	+1	-1	-2
Frightened	+1	-1	-2
React unpredictable	+1	-1	-2
Dramatic, histrionic	+1	-1	-2
Impulsive	+1	-1	-2
Dependent	+1	-1	-2
Shows sense of humor	-1	+1	+2
Withdrawn	+1	-1	-2
Preoccupied	+1	-1	-2
Easily upset by noise, change or confusion	+1	-1	-2

7. Characteristics of Speech:

a. Quality (check all applicable

1_Very loud	Not scored	Dramatic
-1 Screams, shouts	1	Slurred
-1 Very soft	1_	Stutters
-1 Monotonous	1_	Mumbles .
-1 Whining	+1	Verbally facile
_1_Constricted, tight	Not scored	Calculated, precise
-1 Diminished affectivity	-1	Clipped

b. Productivity

Normal Yes_+1_

No (0)or(-1) If no, check one below:

_____ Moderately reduced

____Markedly reduced

____O__Moderately increased

+1 Markedly increased

c. Rate

Normal Yes_+1_

No (0)or(-1) If no, check one below:

-1 Mildly slowed

-2 Very slowed

-1 Mildly pressured

-2 Very pressured

8. Thought Processes

a. Stream of talk

Normal progression, direction, and coherency:

Yes_ +1_

No (0)or(-1) If no, check all applicable

-1 Halting, uncertain

-1 Expansive, digressive

_-1_Rambling, wandering

_-1_Vague

-1 Shallow, lack of depth

__1_Difficulty in finding correct word

-1 Uses related but incorrect words

_1 Excessive profanity

-1 Excessive laughing or joking

______Uses phrases such as "people's republic", "imperialists", etc.

b. Speech has characteristics of thought disorder:

No_+1_

Yes -1

c. Does this man show any evidence of paranola or disorganization of thinking?

Not at all +1

Slight _-1

Moderate -2

Severe _-3

If yes to b or c, circle digit under appropriate column for each item:

	None	Slight	Moderate	Marked
Incoherence	0	-1	-2	-3
Irrelevance	0	-1	-2	-3
Blocking	0	-1	-2	-3
Circumstantiality	0	-1	-2 -2 -2	-3 -3 -3 -3
Loosening of associations	0	-1	-2	-3
Obscurity	0	-1	-2	-3
Concreteness	0	-1	-2	-3
Difficulty differentiating self from others	0	-1	-2 -2 -2 -2	-3 -3 -3
Perseveration	0	-1	-2	-3
Fragmentation of thinking	0	-1	-2	-3
Neologisms	0	-1	-2	-3
Word salad	0	-1	-2 -2 -2	-3 -3 -3
Flight of ideas	0	-1	-2	-3
Echolalia	0	-1	-2	-3
Clang associations	0	-1	-2	-3
Confabulation	0	-1	-2 -2 -2 -2	-3 -3 -3

Ideas of reference Grandiosity Use of denial Bizarre thoughts	0 0 0	-1 -1 -1	-2 -2 -2 -2	-3 -3 -3 -3
Phobia(s) Compulsion(s) Obsession(s) Ambivalence	0 0 0	-1 -1 -1	-2 -2 -2 -2	-3 -3 -3
Autism Preoccupied with violence, gore Fixated on POW experiences Fears harm by others	0 0 0	-1 -1 -1	-2 -2 -2 -2	-3 -3 -3
Fears doing harm to others Homosexual fears Heterosexual fears Tendency to magical thinking	0 0 0	-1 -1 -1	-2 -2 -2 -2	-3 -3 -3
Overvalued ideas Cosmic ideas Excessive religiosity	0 0	-1 -1 -1	-2 -2 -2	-3 -3 -3
Sexual preoccupations Sexual identity confusion	0	-1 -1	-2 -2	-3 -3
Delusions	0	-1	-2	-3
		If c	ircled	
	Delusions systematized? Not scored			?
		No	Poorly _	Well
	Character of delusions: PersecutorySomaticGrandeurOther			:
				Other
		_Sexua1		

d. Apprehensive or worried about:

	Not at all	Mildly	Moderately	Markedly
Marriage	+1	-1	-2	-3
Children	+1	-1	-2	-3
Other Family	+1	-1	-2	-3
Notoriety	+1	-1	-2	-3
Health	+1	-1	-2	-3
Career	+1	-1	-2	-3
Finances	+1	-1	-2	-3

Somatic concern (check one)

Comment: Not scored

+1 appropriate -1 excessive
-1 ignores or denies -1 fixated

e. Has recurrent intrusive thoughts or images of traumatic events, or stressful experiences:

No <u>+1</u>
Yes <u>-1</u> (comment on and describe below)

9. Affect and Feelings

	Slight	Moderate	Marked
+1	-1	-2	-3
+1	-1	-2	-3
-1	+1	+2	+3
+1	-1	-2	-3
+1	-1	-2	-3 -3
+1	-1	-2	-3
+1	-1	-2	-3
+1	-1	-2	-3
+1	-1	-2	-3
+1	-1	-2	-3
+1	-1	-2	-3 -3
+1	-1	-2	-3
+1	-1	-2	-3
	+1 +1 +1 +1 +1 +1 +1 +1	+1 -1 +1 -1 +1 -1 +1 -1 +1 -1 +1 -1 +1 -1 +1 -1 +1 -1	+1 -1 -2 +1 -1 +2 +1 -1 -2 +1 -1 -2

Anger If circled

Expressed how? (check one Not scored Directly, openly Covertly or indirectly Both of the above

Directed against (check all applicable)

Self Relatives, close friends Impersonal objects Distant acquaintances Public figures
Abstracts (such as society)

b.	Rate	this	man's	current	level	of	self-respect:	(check	one)
----	------	------	-------	---------	-------	----	---------------	--------	------

-1	_Very low	_0	_Average
-1	Low	+1	_Hi gh

c. Check all those which apply:

-1 morose -1 makes light of everything -1 resentful, bitter -1 suppresses anger -1 rationalizes or justifies behavior during captivity -1 hopelessness -1 diurnal mood variations -1 suicida' ruminations -1 suicida' threats	-l previous suicide attempts or gestures -l family history of suicide -l conceals emotions -l discrepancy between verbal and behavioral affect -l La Belle Indifference -l feelings of failure -l feelings of unworthiness -l deprecates self +l sense of well being
-1 emotional numbness	

Comment:

on	ti	P	e	·C	er	P	0	1
on	ti	P	e	·C	er	P	0	1

4.	Hallucinations Check one:
	Absent

Absent +1 (omit remainder of a.)

Suspected 0
Definite -1 (if checked)

Check one: un formed Not scored formed

Туре	None	Mild	Moderate	Marked
Visual				
Audi tory			<u></u>	
01 factory				
Gustatory				107 Te
Tactile	_			
Other .				
(specify)				

Content: (check all applicable)

Threatening	Religious
Accusatory	Reassuring
Flattering	Sexual
Benian	

Conviction: (check one)

Knows unreal
Unsure
Convinced

b. Other alterations:

	None	Occasionally	<u>Often</u>
Illusions	+1	-1	-2
Depersonalization	+1	-1	-2
Feelings of unreality		-1	-2 -2 -2
Deja Vu	+1	-1	-2
Mirage-like exper-			
iences	+1	-1	-2
Altered time sense	+1	-1	-2 -2
Altered body image	+1	-1	-2
Synesthesias	+1	-i	-2 -2
Heightened sensory experiences	+1	-1	-2

11. Intellectual Functions:

- a. General fund of information for subjects' education
 - +1_Appropriate
 - _+1 Exceptional
 - _-1 Poor

b.	Serial 7's: Not Scored				
	Time of performance Number of errors Completed Yes Recognizes errors Yes Became flustered Yes Excessively concerned with precision Yes	N	0		
	Other calculations done: (check	one)			
	-1 Poorly 0 Average +1 Exceptional				
c.	Abstraction				
	Recognizes differences between a (idleness - laziness) Recognizes logical absurdities Recognizes similarities and diffe		words	+1 Ye	es -1 No
	Proverbs (check all applicable)				
	+1 Interprets appropriately -1 Personalizes -1 Concrete -1 Same meaning in different pro-1 Bizarre -1 Irrelevant	roverbs			
	Abstracting ability generally rai	ted as:			
	-1 Poor 0 Average +1 Exceptional				
	Ability to fantasize and imaginat	tion gene	erally i	rated as:	
	-1 Poor 0 Average +1 Exceptional				
d.	Reasoning and Judgment	Good	<u>Fair</u>	Poor	
	Family relations Other social relations Work, career Current condition	+1 +1 +1 +1	0 0 0	-1 -1 -1	
	Future plans: (check one)	Mod	Score		
	-1 No definite plans -1 Poorly conceived 0 Only fair +1 Well-conceived		Long Te Short 1 Both	erm	
e.	Degree of insight rated as:				
	-1 Low 0 Average +1 Exceptional				
f.	Intelligence estimated as:				

IE. SOMECIC TUNCCIONING	12.	Somatic	Functioning
-------------------------	-----	---------	-------------

- a. Current appetite (check one)
 Normal Yes +1 No -1 (If no) Not scored Overeating or excessive _Poor _Anorexic b. Energy level Normal Ye Yes __+1 No _-1 Check one: (If no) Not scored Very low Low
 Normal, but fatigues easily
 Somewhat greater than normal
 Nuch greater than normal c. Sexual Functioning: Normal Yes <u>+1</u> No _-1 Check all applicable: (If no) Not scored Low libido Loss of libido Excessive libido Impaired potency Impotent d. Sleep and Dreams: (check all applicable)
- - -1 Difficulty falling asleep
 -1 Early morning awakening
 -1 Fragmented sleep
 -1 Nightmares
- e. Psychophysiological or psychosomatic disturbances:

Severity

System	None	Mild	Moderate	Marked						
Cardiovascular	+1	-1	-2	-3						
Gastrointestinal	+1	-1	-2	-3						
Metabolic or Endocrine	+1	-1	-2	-3						
Respiratory	+1	-1	-2	-3						
Skin	+1	-1	-2	-3						
Musculo-Skeletal	+1	-1	-2	-3						

13. Social Interactions and Personality Characteristics:

a. Known Disturbed Social Relations:

	None	Mild	Moderate	Marked
Spouse	+1	-1	-2	-3
Parents	+1	-1	-2	-3
Children	+1	-1	-2	-3
Siblings	+1	-1	-2	-3
Authorities	+1	-1	-2	-3
Peers	+1	-1	-2	-3
Subordinates	+1	-1	-2	-3
Other (specify)	0	-1	-2	-3

b.	Social	Concern:
----	--------	----------

- -1 Excessive
 +1 Normal
 -1 Sociopathic traits
- c. Social Interaction:
 - -1 Seclusive or introverted
 +1 Normal
 -1 Outgoing or gregarious
- d. Superego Development:
 - -1 Less than average
 +1 Average
 -1 Overdeveloped
- e. Suggestability:
 - +1 Less than average
 +1 Average
 -1 More than average
- f. Frustration Tolerance:

 - -1 Low
 0 Average
 +1 More than average
- g. Impulse Control:
- h. Degree of Rigidity:
 - -1 More rigid than average
 0 Average
 +1 More flexible than average
- 1. Degree of Dependency:
 - +1 Less than average O Average

 -1 More than average

	Humor	

- -1 Very little
 0 Average
 +1 Greater than average
- k. Need for achievement:
 - -1 Minimal +
 Unrealistically high
 - +1 Commensurate with abilities or normal
- 1. Use of Denial:
 - +1 Minimal
 0 Moderate
 -1 High
- I. DIAGNOSIS:

II. Problem List and Treatment Plans:

- 1. Problem List
- 2. Recommendations and Treatment Plans

III. Narrative Summary:

Please include some commentary on the following:

- 1. Overall experience of captivity and coping mechanisms and defenses used.
- 2. Current emotional and psychological status.
- Concerns and pertinent information about relationships with spouse, children, parents, career, etc.
- 4. Emotional problems prior to capture.
- 5. Anticipated difficulties in readjustment.
- 6. Probability of seeking counseling or psychiatric aid, if needed.

APPENDIX II

NAVAL AEROSPACE MEDICAL INSTITUTE PSYCHIATRIC EVALUATION FORMAT

INSTRUCTIONS

Respond to all scaled items by marking the appropriate number corresponding to your evaluation of the degree to which that particular trait is present in the patient. Note that the traits listed may be either positive or negative ones. The following scale should be used.

- 0 = absence of trait 1 = trace or very mild 2 = mild

- 3 = moderate
- 4 = marked or severe

MENTAL STATUS EXAMINATION

A. General Appearance

deneral Appearance								
 Appears older than stated age Appears younger than stated age Dress 	je		0	1	2	3	4	001- 002+
overly neat disheveled bizarre or unusual			0	1 1	2 2 2	3 3	4 4 4	003- 004- 005-
4. Poor grooming 5. Poor personal hygiene 6. Motor behavior			0	1	2 2	3	4	006- 007-
restlessness fidgety pacing handwringing posturing mannerisms repetitive acts compulsive acts			0 0 0 0 0 0 0	1 1 1 1 1 1 1	2 2 2 2 2 2 2 2	3 3 3 3 3 3 3	4 4 4 4 4 4	008- 009- 010- 011- 012- 013- 014- 015-
7. Facial expression sad expressionless angry anxious worried elated avoids direct contact stares into space			0 0 0 0 0 0 0 0	1 1 1 1 1 1 1 1	2 2 2 2 2 2 2 2	3 3 3 3 3 3 3	4 4 4 4 4 4 4	016- 017- 018- 019- 020- 021- 022- 023-
8. Speech slow fast verbose laconic soft loud monotone			0 0 0 0 0 0 0 0	1 1 1 1 1 1 1	2 2 2 2 2 2 2 2	3 3 3 3 3 3 3	4 4 4 4 4 4	024- 025- 026- 027- 028- 029- 030-
9. Attitudes during interview indifference passive dependent aggressive hostile suspicious seductive friendly cooperative manipulative rebellious			0000000000	111111111111111111111111111111111111111	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	3 3 3 3 3 3 3 3 3 3 3 3	4 4 4 4 4 4 4 4 4 4	031- 032- 033- 034- 035- 036- 037- 038+ 039+ 040- 041-

B. Psychological Functioning

1. Openness-Defensiveness
(Where patient is open and free in his world - with self, others, things, examiner - and where he is in conflict and defended and unfree)

a. Ego strengths
(Freedom, openness, authenticity)

alert	0	1	2	3	4	044+
energetic	0	1	2	3	4	045+
open	0	1	2	3	4	046+
warmth	0	1	2	3	4	047+
spontaneity	0	1	2	3	4	048+
candor	0	1	2	3	4	049+
responsiveness	0	1	2	3	4	050+
flexibility	0	1	2	3	4	051+
range of interests	0	1	2	3	4	052+
creative and/or problem solving fantasy	0	1	2	3	4	U53+
sense of humor	0	1	2	3	4	054+
self-acceptance	0	1	2	3	4	055+
concern for others	0	1	2	3	4	056+
goal-oriented	0	1	2	3	4	057+
positive outlook	0	1	2	3	4	058+
productive	0	1	2	3	4	059+
responsibility	0	1	2	3	4	060+

Ego defense (Constriction, immaturity, inauthenticity)

1)Mechanisms of defense						
denial	0	1	2	3	4	061-
restriction of ego functioning	0	1	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	3	4	062-
fantasy	0	1	2	3	4	063-
incorporation	0	1	2	3	4	064-
introjection	0	1	2	3	4	065-
projection	0	1	2	3	4	066-
externalization	0	1	2	3	4	067-
turning-against-the-self	0	1	2	3	4	068-
undoing	0	1	2	3	4	069-
reaction-formation	0	1	2	3	4	070-
isolation of affect	0	1	2	3	4	071-
intellectualization	0	7	2	3	4	072-
fixation	0	1	2	3	4	073-
regression	0	1	2	3	4	074-
repression	0	1	2	3	4	075-
displacement	0	1	2	3	4	076-
rationalization	0	1	2	3	4	077-
minimization	0	1	2	3	4	078-
identification	0	1	2	3	4	079-
avoidance	0	1	2	3	4	-080
compensation	Ö	1	2	3	4	081-
sublimation	Ö	1	2	3	4	082-
suppression	Ö	1	2	3	4	083-
other	Ö	i	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	333333333333333333333333333333	4	084-

)Immature personality patterns						
wi thdrawn	0	1	2	3	4	085-
detached	0	1	2	3	4	086-
ineffectual	0	1	2	3	4	087-
narcissistic	0	1	2 2 2	14	4	088-
callous	0	1	2	3	4	089-
cold	0	1	2	3	4	090-
manipulative	0	1	2 2 2	3	4	091-
deceptive	0	1	2	3 3	4	092-
impulsive	0	1	2	3	4	093-
irresponsible	0	1	2	3	4	094-
evasive	0	1	2	3	4	095-
guarded	0	1	2 2 2 2 2	3 3 3 3	4	096-
suspicious	0	1	2	3	4	097-
argumentative	0	1	2	3	4	098-
litigious	Ö	1	2	3	A	099-
jealous	ő	1	2	3	Ä	100-
grandoise	ŏ	i	2	3	Ä	101-
	0	•	2	3	7	102-
elated	0	1	2	3	7	103-
flighty	U		2	3	4	103-

```
hypomanic
                                                                                                                       104-
                                                                                                 22222222222222222222222222222222
         pessimistic
lethargic
                                                                                                                       105-
                                                                                                                       106-
                                                                                                                       107-
          asthenic
                                                                                     0
                                                                                                                       108-
109-
          passive
                                                                                     0
          submissive
                                                                                     000
                                                                                                                       110-
         dependent
         clinging aggressive
                                                                                                                       111-
                                                                                     0
         angry
hostile
                                                                                     Ō
                                                                                                                       114-
                                                                                                                      115-
                                                                                     0
          explosive
         cocky
                                                                                     ŏ
                                                                                                                       117-
         provocative
          stubborn
                                                                                     Ō
                                                                                                                       118-
          rebellious
                                                                                                                       119-
                                                                                     0
          overly confirming
                                                                                                                       120-
                                                                                                                       121-
122-
123-
         meticulous
                                                                                     000
         perfectionistic miserly
                                                                                                        3
         rigid
critical
                                                                                                                       124-
                                                                                     000000
                                                                                                                       125-
          indecisive
                                                                                                                       126-
          emotionally labile
                                                                                                                      127-
128-
                                                                                                        3333
          histrionic
                                                                                                                       129-
          effeminate
         masculine (defensively exaggerated) sexually provocative
                                                                                                                      130-
131-
                                                                                                        3 3 3 3
                                                                                     0
          immature
                                                                                                                       132-
                                                                                     0
         naive
                                                                                                                       133-
                                                                                                                       134-
         other
3) Sexual deviations
         homosexuality
                                                                                                        3
          fetishism
                                                                                     0
                                                                                                                       136-
         pedophilia
transvestitism
exhibitionism
                                                                                                                       137-
                                                                                                                      138-
139-
140-
                                                                                     0000
                                                                                                        3
                                                                                                        333
         voyeurism
         sadism
                                                                                                                       141-
          masochism
                                                                                                                       142-
          other
                                                                                                                       143-
                 (Specify)
4) Abuse of drugs
alcohol
                                                                                                                       145-
146-
          medicine
          drugs
5) Neurotic symptoms
                                                                                                                       147-
                                                                                                 2
         anxious
                                                                                                        3
                                                                                                                       148-
149-
          tense
          frightened
                                                                                                 2222222222222222222222222222
          perspiring
                                                                                                                       150-
         worried
fidgety
                                                                                                                       151-
                                                                                                                      152-
153-
154-
                                                                                     000
                                                                                                        3
         restless
insomnia, initial
disturbing dreams
repetitive dreams
                                                                                                        3
                                                                                                                       155-
                                                                                                                       156 (not scored)
          blindness
                                                                                     0
                                                                                                                       157-
                                                                                     0
          deafness
                                                                                                        3333333
                                                                                                                       158-
                                                                                                                      159-
160-
         anosmia
hypesthesia
paresthesia
                                                                                     0
                                                                                     ō
                                                                                                                       161-
                                                                                                                       162-
163-
          anesthesia
          impotence
frigidity
                                                                                                                       164-
165-
166-
                                                                                     0
          paralysis
                                                                                     000
                                                                                                        3333
          ataxia
         dyskinesia
akinesia
la belle indifference
                                                                                                                       167-
                                                                                                                       168-
          anmesia
                                                                                                                       170-
         anmesia
fugue
sommambulism
dissociation of body part
feelings of estrangement
feelings of unreality
feelings of depersonalization
                                                                                                                       171-
172-
173-
                                                                                     000
                                                                                                       33333
                                                                                                                       174-
                                                                                     0
```

multiple personality irrational fears	0	1	222222222222222222222222222222222222222	3	4	177- 178-
obsessions	0	i	2	3	4	179-
compulsions	0	1	2	3	4	180-
ruminations depressed	0	1	2	3	4	181- 182-
tearful	0	1	2	3	4	183-
lonely	0	1	2	3	4	184- 185-
irritable loss of interest	0	1	2	3333333333333333	4	186-
loss of energy	0	1	2	3	4	187-
anorexia	0	1	2	3	4	188~ 189~
bulimia insomnia, early morning	0	í	2	3	4	190-
loss of sexual interest	0	1	2	3	4	191-
self-doubt self-critical	0	1	2	3	4	192-
quilt feelings	0	í	2	3	4	194-
feelings of shame	0	1	2	3	4	195-
hopelessness suicidal ideation	0	1	2	3	4	196- 197-
fatique	ŏ	i	2	3	4	198-
weakness	0	1	2	3	4	199-
exhaustion somatic preoccupation	0	1	2 2	3	4	200- 201-
fear of disease	Ö	i	2	3	4	202-
other	0	1	2	3	4	203-
(Specify)						
6) Psychophysiologic symptoms						
skin musculoskeletal	0	1	2	3	4	204- 205-
respiratory	ŏ	i	2	3	4	206-
cardiovascular	0	1	2	3	4	207-
hemic and lymphatic gastrointestinal	0	1	2 2 2 2 2 2	3 3 3 3 3	4	208- 209-
genitourinary	Ö	i	2	3	4	210-
endocrine	0	1	2	3	4	211-
special sense other	0	1	2 2	3	4	212-
(Specify)						
7) Special symptom	0	1	2	3	4	214-
(Specify)						
8) Psychotic symptoms						
circumstantiality	0	1	2	3	4	215-
tangentiality concreteness	0	1	2	3	4	216- 217-
blocking	Ö	i	2	3	4	218-
deja vu	0	1	2	3 3 3	4	219-
jamais vu deja entendu	0	1	2	3	4	220- 221-
neologisms	Ö	i	2	3	4	222-
reality testing, impairment of	0	1	2 2 2	3 3 3	4	223-
ideas of reference ideas of influence	0	1	2	3	4	224- 225-
autistic thinking	Ö	1	2	3	4	226-
confabulation	0	1	2	3	4	227-
loss of ego boundaries delusions	0	,	2	3	4	228-
of grandiosity	0	1	2	3	4	229-
of persecution	0	1	2	3	4	230- 231-
somatic of world destruction	0	i	2	3	4	232-
other	0	1	2	3	4	233-
(Specify)						
hallucinations						204
auditory visual	0	1	2	3	4	234- 235-
olfactory	0	1	2	3	4	236-
tactile	0	1	2 2 2 2 2 2 2 2	3 3 3 3 3	4	237-
stilted language loosening of associations	0	1	2	3	4	238- 239-
confusion	0	1	2	3	4	240-
fragmentation	0	1	2	3	4	241-
verbigeration regressive behavior	0	1	2	3	4	242- 243-
echolalia	Ö	i	2	3	4	244-

	echopraxia			0	1	2	3	4	245-
	stereotypy			0	1	222222222222222222222222222222222222222	3 3 3 3 3 3 3 3 3 3	4	246-
	ambivalence			0	1	2	3	4	247-
	negativism autism			0	1	2	3	4	248- 249-
	mutism			ŏ	i	2	3	4	250-
	waxy flexibility			0	1	2	3	4 4 4 4 4	251-
	excitement			0	1	2	3	4	252-
	flight of ideas clang associations			0	1	2	3	4	253-
	suicdal			0	i	2	3	4	254- 255-
	homocidal			Ö	i	2	3	4	256-
	other			0	1	2	3	4	257-
	(Specify)								
2.	Affect								
	anxious			0	1	2	3	4	258-
	fearful			0	1	222222222222222222222222222222222222222	3	4	259-
	angry	4.		0	1	2	3	4	260-
	sad apathetic			0	1	2	3	4	261-
	elated			ő	i	2	3	4	262-
	labile			ŏ	1	2	3	4	264-
	diurnal variation			0	1	2	3	4	265-
	cyclothymic constricted			0	1	2	3	4	266-
	flattened			0	i	2	3	4	267- 268-
	inappropriate			ŏ	i	2	3	4	269-
	silly			0	1	2	3 3 3 3 3 3 3 3 3 3 3 3 3 3	4	270-
	bizarre			0	1	2	3	4	271-
	other (Specify)			0	1	2	3	4	272-
c.	Organic Brain Functioning								
	1. Motor Behavior								
	increased amount			0	1	2	3	4	273-
	flighty			ő	i	2	3	4	274-
	decreased amount			0	1	2	3	4	275-
	retarded			0	1	2	3	4	276-
	neurological impairment			0	1	2	3	4	277-
	(Specify) 2. Sensorium, Impairment of								
	a. General								
	level of consciousness			0	1	2	3	4	278-
	attention			0	1	2	3	4	279-
	concentration b. Orientation			0	1	2	3	4	280-
	time			0	1	2	3	4	281-
	place			0	1	2	3	4	282-
	person			0	1	2	3 3 3	4	283-
	situation (Context)			. 0	1	2	3	4	284-
	c. Memory immediate recall			0	1	2	2	4	285-
	recent			ŏ	i	2 2 2	3 3 3	4	286-
	remote			0	1	2	3	4	287-
	fund of general informat	ion		0	1	2		4	288-
	vocabulary			0	1	2	3	4	289-
	3. Perception								
	illusions			0	1	2	3	4	290-
	hallucinations			0	1	2	3	4	291-
	hypnagogic hallucination hypnopompic hallucination			0	1	2 2 2	3 3 3	4	292-
	other alterations	ns		0	1	2	3	4	293- 294-
							,	-	234-
	4. Speech, Impairment of								
	a. Quality				,				000
	soft loud			0	1	2	3	4	295-
	other			Ö	1	2	3	4	296- 297-
	(Specify)								
	b 0.4.								
	b. Rate ,			0	1	2	,		298-
	fast			Ö	i	2	3	4	298-
				1000	13.1	-	-	-	-

	(. Other						
		irregular	0	1	2	3	4	300-
		perseverative	0	1	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	3 3 3 3 3 3 3	4	301-
		aphasic	0	1	2	3	4	302-
		paraphasic	Ö	1	2	3	4	303-
		dysarthric	0	1	2	3	4	304-
		dysphonic	Ö	i	2	3	4	305-
		aphonic	0	1	2	3	4	306-
	5. (Calculations, Impairment of	0	. 1	2	3	4	307-
	6. (creativity, Impairment of						
		imagination - ability to fantasize	0	1	2	3	4	308-
		abstractive ability (similarities-						
		differences)	0	1	2	3	4	309-
		proverb interpretation						
		abstract	0	1	2	3	4	310+
		concrete	0	1	2	3	4	311-
		autistic	0	1	2	3	4	312-
		bizarre	0	1	2	3	4	313-
		irrelevant	0	1	2 2 2 2 2 2 2 2 2	3 3 3 3 3 3 3	4	314-
		reasoning	0	1	2	3	4	315-
		comprehension	0	1	2	3	4	316-
	7. I	Q Estimate	0	1	2	3	4	317 (not scored)
0.	Judgment, Impairment of							
	1	amily	0	1	2	3	4	318-
	S	ocial	0	1	2 2 2 2 2	3 3 3 3	4	319-
	. 1	inancial	0	1	2	3	4	320-
	0	ccupational	0	1	2	3	4	321-
	P	ersonal health	0	1	2	3	4	322-
E.	Insig	ht						
		ecognition that one is ill	0	1	2	3	4	323 (not scored)
	*	ecognition of one's own contribution						
		to creating problem or illness	0	71	2	3	4	324 (not scored)
	r	ecognition of need for assistance	0	1	2	3	4	325 (not scored)
F.	Poten	tial for Therapeutic Alliance	0	1	2	3	4	326 (not scored)
F.	Poten	tial for Therapeutic Alliance	0	1	2	3	4	326 (not score

V. RSYCHOLOGICAL TEST RESULTS

VI. ASSESSMENT

A. Capsule Summary

(This should include elements of the patient's personality pattern, current life crisis or precipitating context, and symptoms or behaviors)

- B. Diagnoses
- C. Problem List

VII.PLAN